

PATENT  
10400C-000250/US

**IN THE U.S. PATENT AND TRADEMARK OFFICE**

Applicant(s): Nicolas OUTRAM et al.  
Int'l Application No.: PCT/EP2005/001887  
Application No.: NEW  
Filed: August 23, 2006  
For: ASSESSMENT OF FETAL REACTIVITY BY FETAL  
HEART RATE ANALYSIS

---

**INFORMATION DISCLOSURE STATEMENT  
(SUBMISSION CONCURRENT WITH THE  
FILING OF A NEW PATENT APPLICATION)**

Customer Service Window  
Randolph Building  
401 Dulany Street  
Alexandria, VA 22314  
**Mail Stop PCT**

August 23, 2006

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

**I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION**

The patents, publications, or other information submitted for consideration by the Office are listed on PTO-1449, attached hereto.

**II. COPIES**

- ☐ Submitted herewith is a legible copy of (i) each U.S. and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
- ☒ This application is a National Phase of a PCT application. Some or all of the documents listed on the PTO-1449 are not enclosed because they were cited in the International Search Report and copies should be forwarded from the International Search Authority. If copies are needed, please contact the undersigned.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /G.P./

New PCT National Phase Application  
Docket No. 10400C-000250/US

- ☐ Because the present application is being filed after June 30, 2003, no copies of the U.S. patents or U.S. patent application publications which are listed on the attached Form 1449 are enclosed pursuant to the waiver of 37 C.F.R. § 1.98(a)(2)(i). Any foreign patent documents or non-patent literature listed on the attached Form 1449 are enclosed herewith.

III. CONCISE EXPLANATION OF THE RELEVANCE

(check at least one box)

a. ☐ **DOCUMENTS IN THE ENGLISH LANGUAGE**

Some of the attached patents, publications, or other information in the English language do not require a statement of relevancy.

b. ☒ **DOCUMENTS NOT IN THE ENGLISH LANGUAGE**

A concise explanation of the relevance of all patents, publications, or other information listed that is not in the English language is as follows:

Many of the documents have been discussed in the PCT Search Report, the PCT Preliminary Examination Report, and/or throughout the specification. The PCT Search Report and PCT Preliminary Examination Report, along with a German translation aid translating key terms into English, indicate the degree of relevance found by the PCT Office, thereby satisfying the requirement for a concise explanation. See MPEP 609(A)(3).

c. ☒ **ENGLISH LANGUAGE SEARCH REPORT**

An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(A)(3).

d. ☐ **OTHER**

The following additional information is provided for the Examiner's consideration.

e. ☐ **EQUIVALENCY DOCUMENTS**

IAP12 Rec'd PCT/PTO 23 AUG 2006

New PCT National Phase Application  
Docket No. 10400C-000250/US

FEES

This Information Disclosure Statement is being filed concurrently with the filing of a new patent application; therefore, no fee is required.

If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 08-0750.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 08-0750 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Respectfully submitted,

HARNESS, DICKEY & PIERCE, P.L.C.

By: \_\_\_\_\_

John A. Castellano, Reg. No. 35,094

P.O. Box 8910  
Reston, Virginia 20195  
(703) 668-8000

JAC:dpg

- Enclosures:
- ☒ Form PTO-1449(s)
  - ☒ Documents
  - ☒ International Search Report (PCT/ISA/210 and PCT/ISA/220)
  - ☒ International Preliminary Examination Report (PCT/IPEA/416 and PCT/IPEA/409)
  - ☐ Fee

Form PTO-1449			ATTY DOCKET NO. 10400C-000250/US		<b>10/590310</b>		APPLICATION NO. NEW	
<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>			APPLICANTS Nicolas OUTRAM et al.		CONF. NO. Unknown			
(Use several sheets if necessary)			FILING DATE August 23, 2006		GROUP Unknown			

  

U.S. PATENT DOCUMENTS						
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	US 4,510,944 A	4/16/1985	Porges			
	US 5,042,499 A	8/27/1991	Frank et al.			

  

FOREIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
						YES	NO
	KR 2003069586 A	8/27/2003	KOREA				X

  

OTHER DOCUMENTS		(Include Name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.)
		O. SIBONY et al. "Quantification of the fetal heart rate variability by spectral analysis of fetal well-being and fetal distress" E. JOUR. OF OB. GYN. and REPRO. BIO. (1994) Vol. 54, pp.103-108.
		G.S. DAWES et al. "Antenatal Cardiotocogram Quality And Interpretation Using Computers" BRITISH JOUR. OF OB. GYN (1992) Vol. 99, pp. 791-797
		International Search Report dated June 23, 2005
		International Preliminary Examination Report (Article 36 and Rule 70) dated June 14, 2006

  

EXAMINER /Gary Porter, Jr/	DATE CONSIDERED 02/06/2009
-------------------------------	-------------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

JAC:dpg

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /G.P./